

# Creating Data Resources for Designing User-centric Front-ends for Query by Humming Systems

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## ABSTRACT

Advances in music retrieval research greatly depend on appropriate database resources and their meaningful organization. In this paper we describe the data collection efforts related to the design of query by humming (QBH) systems. We also provide a statistical analysis for categorizing the collected data, especially focusing on inter-subject variability issues. In total, 100 people participated in our experiment resulting in around 2000 humming samples drawn from a predefined melody list consisting of 22 different well known music pieces, and over 500 samples of melodies that were chosen spontaneously by our subjects. These data will be made available for the research community. The data from each subject were compared to the expected melody features, and an objective measure was derived to quantify the statistical deviation from the baseline. The results showed that the uncertainty in the humming varies with respect to the melodies' musical structure and subject's musical background. Such details are important for designing robust QBH systems.

## Categories and Subject Descriptors

H.3.2 [Information Storage and Retrieval]: Information Storage – *file organization*. H.5.5 [Information Interfaces and Presentation]: Sound and Music Computing – *methodologies and techniques*

## General Terms

Design, Human Factors

## Keywords

humming database, uncertainty quantification, query by humming, statistical methods

## 1. INTRODUCTION

Content based multimedia data retrieval is a developing research area. Integrating natural interactions with multimedia databases

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is a critical component of these kinds of efforts. Using humming, a natural activity of humans, for querying data is one of the options.

This requires audio information retrieval techniques to be developed for mapping the human humming waveforms to pitch numbers strings representing the underlying melody to pitch and rhythm contours. A query engine needs to be developed in order to search the converted symbols into the database and it should be precise and robust to inter-user variability and uncertainty in query formulation.

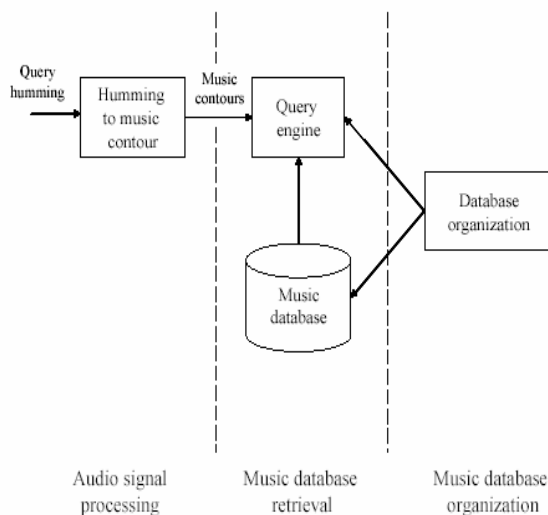


Figure 1.1: Flowchart of a typical Query by Humming System.

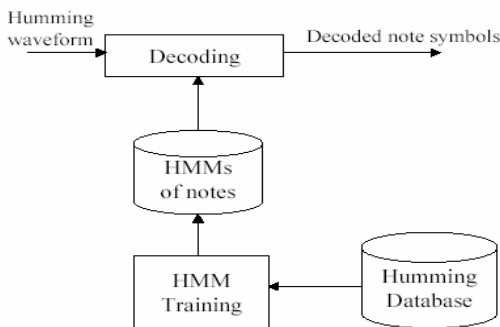
Ghias et al. [6] was the first to propose Query by humming in 1995, and coarse melodic contours were used to represent melodic information. The coarse melodic contour was widely used and discussed in several query by humming systems that followed. Autocorrelation was used to track pitch and convert humming into coarse melodic contours. McNab et al. [7, 8] improved this framework by introducing duration contour for rhythm representation. Blackburn et al. [9], Roland et al. [10] and Shih et al. [11] improved McNab's system by using tree based database searching. Jang et al. [12] used the semitone (half

step) as a distance-measure and removed repeating notes in their melodic contour. Lu et al. [13] proposed a new melody string which contained pitch contour, pitch interval and duration as a triplet. All these efforts had significant contribution to the topic.

### 1.1 The Role of the Study in QBH Systems

Our proposed statistical approach to humming recognition aims at providing note level decoding. Since it is data-driven, it provides more robust processing in terms of handling variability in humming. Conceptually, the approach tries to mimic a human’s perceptual processing of humming as against attempting to model the production of humming. Such statistical approaches have had great success in automatic speech recognition and can be adopted and extended to recognize human humming and singing [1]. In order to achieve this, a humming database needs to be developed that captures and represents the variable degrees of uncertainty that can be expected by the front-end of the Query by Humming System.

Our goal in this study is to create a humming database that includes samples of people with various musical backgrounds in order to make statistical categorization of inter-subject variability and uncertainty in the collected data. Our research contributes to the community, by providing a publicly available database of human humming, one of the first efforts of its kind.



**Figure 1.1.2 The role of Humming Database in statistical humming recognition approach.**

As seen from the figure 1.2, the collected data will be used to train the Hidden Markov Models that we used to decode the humming waveform. From the uncertainty analysis we performed, we will be able to select which data is going to be used in the training set so that; inaccurate data will not effect the decoding accuracy. On the other hand, the whole data can also be used to test the accuracy of the retrieval algorithms.

Building a system that performs pitch and time information based retrieval from a humming piece using statistical data-driven methods has been shown to be feasible [1]. However, since the input is totally user dependent, and includes high rates of variability and uncertainty, the challenge that remains is achieving robust performance under such conditions. In section 2, we will discuss our hypothesis about the sources of uncertainty in humming performance. Since our proposed approach is based on statistical pattern recognition, it is critical that the test and training data adequately represent the kinds of variability expected.

In section 3, we describe the experimental methodology detailing the data collection procedure. The information about the data and its organization is explained in section 4. In section 5, we present statistical analysis aimed at quantifying the sources and nature of user variability. Results are presented in section 6 in the context of our hypothesis.

## 2. HYPOTHESIS

The data collection design was based on certain hypotheses regarding the dimensions of user variability. We hypothesized that the main factors contributing to variability include the musical structure of the melodies that are being hummed, the subject’s familiarity to the song and the subject’s musical background, and that these effects can be modeled in an objective fashion using the audio signal features.

### 2.1 Musical Structure

The original score of a melody, the flow of notes, and the rhythm are the features that greatly influence how well a human can faithfully reproduce it through humming. Some melodies have a very complex musical structure in that they have difficult note transitions and complex rhythmic structures that make them difficult to hum. When we create a database, we wish to have samples reflecting a range of musical structure complexity.

The note flow in the score of the melodies was the main feature that we used to categorize the musical structure. We measured the pitch range of the songs according to two statistics: the difference between the highest and the lowest note of the melody and, more importantly, the highest semitone differential between any two consecutive notes. For example, two of the well known melodies we asked our subjects to hum; “happy birthday” and “itsy bitsy spider” have different musical structures. The range where the all notes in “happy birthday” is one full octave (12 semitones), while the range in “itsy bitsy spider” is only 5 notes (7 semitones). Moreover, the highest absolute pitch change between two consecutive notes in “happy birthday” is again 12 semitones while this same quantity is only 4 semitones in “itsy bitsy spider”. On the other hand, one of the melodies in our melody list was the “United States National Anthem.” It has notes ranging between 19 semitones, and the highest differential between two consecutive notes is 16 semitones, not an easy interval to be sung by untrained people. If we want to compare these three songs, we can speculate that the average performance of the humming of “itsy bitsy spider” will be better than the performance of the humming of “happy birthday” or of the “United States National Anthem”.

Difficulty can also be a function of “perceived closeness” of intervals in terms of fractions between pitch frequencies. For example, a perfect fifth is a frequency of 2:3, a simple relationship to make and thus sing, whereas an augmented fourth, although closer in terms of frequency, is usually more difficult to sing. That’s why, the type of intervals are also important in difficulty comparison.

### 2.2 Familiarity

The quality of reproducing a melody (singing or humming) also depends on the subject’s familiarity with that specific melody. The less the familiarity is, the higher the uncertainty that can be

expected. On the other hand, even while a melody may be very well known, it does not mean that it would be hummed perfectly. Therefore, we prepared a list of well-known pieces (happy birthday, take me to the ball game...) and nursery rhymes (itsy bitsy spider, twinkle twinkle little star...) and asked our subjects to rate their familiarity to the melodies we played from midi files. We hypothesize that the humming performance will be better when our subjects hum the melodies with which they are more familiar.

### 2.3 Musical Background

We can expect musically trained people to hum the melodies we ask with a high accuracy rate, while musically non-trained people are less likely to hum the melodies with the same accuracy. By musically trained, we mean that the subject has taken some professional music classes of any kind such as, diction, instruments, singing etc. Whether or not the instruction is related to singing, even a brief period of amateur instrument training affects one's musical intuition. On the other hand, we also know that music intuition is a basic cognitive ability that some non-trained subjects may already possess [4, 5]. We in fact experienced very accurate humming from some non-trained subjects. Hence another goal of the data design was to sample subjects of varied skills.

## 3. EXPERIMENT METHODOLOGY

Given the aforementioned goals, the actual corpus creation was done according to the following procedure.

### 3.1 Subject Information

Since our project does not target a specific kind of user population, we encouraged everyone to participate in our humming database collection experiment. However, in order to enable informed statistical analysis, we asked our subjects to fill out a form that asks information about their age, gender, and their linguistic and musical background. Personal identity of the subjects was not kept. Most of the participants were university students. We paid them a fee for their participation.

### 3.2 Melody List and Subjective Familiarity Rating

We prepared a melody list of 22 pieces that included nursery rhymes and classical pieces. These melodies were categorized with respect to their musical structure, in total covering most of the possible note intervals in their original score (perfects, majors, minors). The ones with large intervals were assumed to be the more complex and difficult melodies (United States of America National Anthem, Take me to the ball game, happy birthday) and the ones that cover small intervals, were assumed to be the less complex melodies (twinkle twinkle little star, itsy bitsy spider, London Bridge...) The full melody list used for this corpus collection is available online at the project's webpage [14].

These melodies were randomly listed on the same form where we asked our subjects to give their personal background information. The form template is also available online [14]. At this stage, we asked our subjects to rate their familiarity using a scale between 1 and 5, with the songs that were played from the computer as

midi files, with 5 being the highest level of familiarity. Subjects used "1" for rating melodies that they were unable to recognize from the midi files. During the rating process, we asked our participants to disregard the lyrics and the name of the melody, as we believe that the tune itself is the most important feature.

### 3.3 Equipment and Recording Environment

A digital recorder is a convenient way of recording audio data. We used a Marantz PMD690, a digital recorder, which provides a convenient way to store the data to flash memory cards. The ready-to-process humming samples were transferred to a computer hard disk and the data were backed up into CDR's.

Martel, a tie-clip electret [16] condenser microphone is preferred here for its own built-in filters which lower the ambient noise level. The whole experiment was performed in a quiet office room environment to keep the data clean.

## 4. DATA

In total, we have acquired thus far, a humming database from 100 participants, whose musical training varies from none to 25+ years of professional piano performing. These people were mostly college students whose ages are over 18 and hail from different countries. Each subject performed 20 humming pieces from the predefined melody list and, 6 humming piece of their own choice, totaling up to over 2500 samples. This humming database will be made available online at our website in the near future and will be completely open source. The instructions for accessing the database will be posted in the website [14].

For convenient access and ease of use, the database needs to be well organized. We gave unique file names to each humming sample. These file names include a unique numerical ID for each subject, the id of the melody that was hummed and the personal information of the subject (gender, age, and whether s/he is musically trained or not). We also included an objective measure of uncertainty at the end (See Sections 5 and 6). Here is the file format:

```
txx(a/b)(+/-)pyyy(m/f)zz_uw
```

xx is an integer value that tells the track number of the song that is hummed in the melody list, (a/b) defines the first and second performances, (+/-) indicates if the subject is musically trained or not, yyy stands for the personal id number, (m/f) defines the gender of the subject and zz tells us the age of the subject. "w" is a float number that shows the average error per note transitions in semitones.

## 5. DATA ANALYSIS

One of the main goals of this study is to implement a way to quantify the variability and uncertainty that appears in the humming data. We needed to distinguish between good and bad humming, not only subjectively but also objectively from the viewpoint of automatic processing. If a person is musically trained and listens to the humming samples that we collected, s/he can easily make a subjective decision about the quality of the piece with respect to the (expected) original. However, this is not the case in which we are primarily interested.

For objective testing, we analyzed the data with a signal processing free software named PRAAT [15], and retrieved information about the pitch and the timing of the sound waves for each of the notes that the subject produced by humming. Each humming note is segmented manually and for each segmented part, we extracted the frequency values with the help of Praat’s signal processing tools. Rather than the notes themselves, we analyzed the relative pitch difference between two consecutive notes [1, 6]. The pitch information we obtained, allowed us to quantify the pitch difference at the semitone level by using the theoretical distribution of semitones in an octave.

Relative Pitch Difference (RPD) is defined as Two Consecutive Notes in semitones;

$$RPD = \frac{\log(f_{k+1}) - \log(f_k)}{TDC} \quad [6]$$

where

F : frequency of the hummed note

K : index of the hummed note

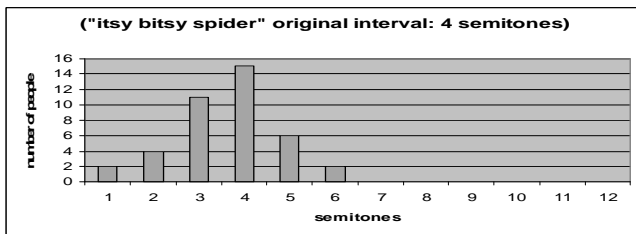
TDC : Theoretical Distribution Constant ( $\log \sqrt[12]{2}$ )

(The logarithmic distribution constant of semitones in an octave)

## 5.1 Performance Comparison in Key Points

Humming sample as a whole is mostly affected at large interval note transitions in the original melody. While large interval transitions are difficult for non-trained subjects to sing accurately, the case is not so for musically trained people. A musically trained subject will not necessarily hum the melody perfectly. However, their performance at these transitions is expected to be more precise.

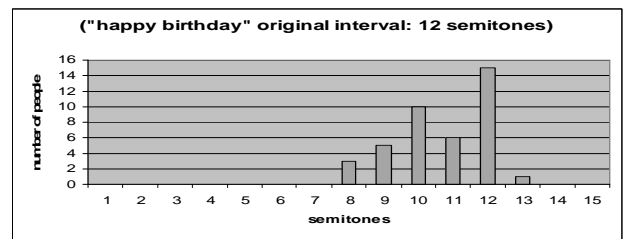
Figure 5.1.1 shows the distribution of the highest semitone differential performance of 20 people, humming the melody for “itsy bitsy spider” twice. This particular melody is one of the easiest melodies we have in our database, having a maximum note-to-note transition interval of “4” semitones. Ten of the subjects for this particular test group are musically trained so we analyzed a total of 20 (each participant hummed a melody twice) samples from musically trained subjects and 20 samples from untrained subjects.



**Figure 5.1.1: humming performance of the selected control group for song “itsy bitsy spider” (first two phrases) at the highest semitone level difference**

As seen from the figure, the mode (highest frequency) of the performance for this interval is 4, the actual value- 15 out of 40 samples were accurate at this particular key point and 10 of these accurate samples were performed by musically trained people. The average absolute error made by musically trained subjects in humming that interval transition was calculated to be 0.63 semitones while this value was 1.29 semitones for non-trained subjects. As expected, the largest interval performance of musically trained subjects was 104.8% better than the performance of non-trained subjects.

To further investigate, this time we analyzed the humming samples performed by the same control group for the melody “happy birthday”. The largest interval skip in “happy birthday” is 12 semitones, which is a relatively difficult jump to be made by untrained people. “Happy Birthday” was one of the examples containing a large interval in our predefined melody list. Figure 5.1.2 shows the performance distribution of the previous control group for the humming of “happy birthday”.

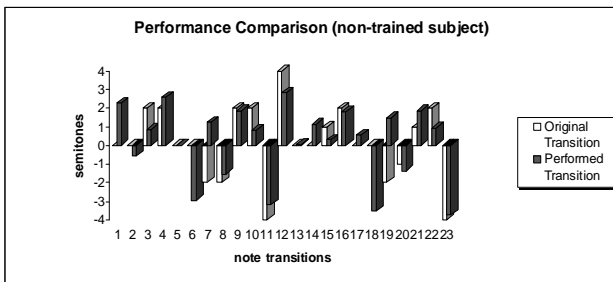


**Figure 5.1.2: humming performance of the selected control group for “happy birthday” at the highest semitone level difference**

The mode for the singing of the largest interval is 12 the size of this largest interval in “happy birthday”. 15 out of 40 samples were accurate in reproducing this particular interval and 11 of these were musically trained subjects. The average absolute error calculated for musically trained subjects is 0.845 semitones and, the average absolute error in non trained subject’s performance is 1.963 semitones. These values show that, musically trained subjects performed 132.3% better than the non trained subjects in singing the largest interval in happy birthday. A simple factor analysis of variance (ANOVA) for the songs, “itsy bitsy spider” and “happy birthday” indicates that the effect of musical training on the accurate singing of the largest intervals is significant. [“itsy bitsy spider” → F(1,39)=8.747 p=0.005; “happy birthday” → F(1,39)=10.630 p=0.002]

## 5.2 Performance Comparison in the Whole Piece

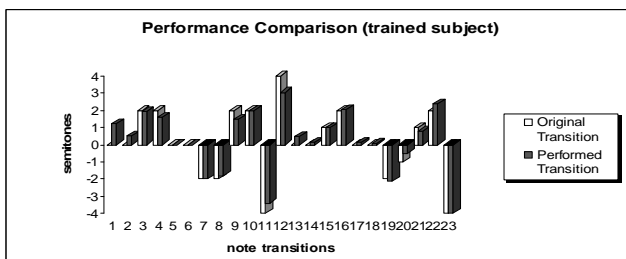
In the melody “itsy bitsy spider” there are 24 notes and 23 transitions. Figure 5.2.1 shows the comparison of a musically non trained subject’s humming to the original music piece “itsy bitsy spider” for each note transition.



**Figure 5.2.1: comparison of humming data to the base melody at each note transitions for non-trained subject**

For each interval transition, we calculated the error between the data and the original expected values in semitones. The sum of all these values will give us a quantity that serves as an indicator for the quality of this particular humming sample. In this case, this subject performed an error average of 1.16 semitones per each note transition interval.

Figure 5.2.2 shows the comparison of a musically trained subject’s humming in comparison to the original melody.



**Figure 5.2.2: comparison of humming data to the base melody**

The analysis showed that, the average error in this musically trained subject’s humming is 0.28 semitones per transition, expectedly lower than the error that we calculated in the non-trained subject’s humming.

## 6. RESULTS AND DISCUSSION

Assuming that the final average error value per transition gives information about the accuracy of the humming, we analyzed and compared the error values of the humming performances of the same control group that we discussed before. For the melodies “itsy bitsy spider” and “happy birthday”, the results are as follows.

**Table 6.1 Average Error values in Semitones in trained and non-trained subject’s humming data for the melodies “itsy bitsy spider” and “happy birthday”**

	Itsy bitsy spider	happy birthday
trained	0.43	0.47
non-trained	0.63	0.70
all-subjects	0.53	0.58

From Table 6.1, one can easily see that, the uncertainty in the musically trained subject’s humming is smaller than the uncertainty in the non-trained subject’s humming of a particular song.

The average error value in the humming of the musically trained subjects in our control group is 0.43 semitones per transition for the melody “itsy bitsy spider”. The average error value for the non trained subjects is 0.63 semitones per transition. Moreover, “happy birthday”, previously claimed to be a more difficult melody to hum because of its musical structure, has the expected results as well. The average error value for trained subjects is calculated to be 0.47 semitones per note transition, larger than the value that same subjects performed while humming “itsy bitsy spider” and the average error that is calculated for the non trained subjects is 0.70, which was also larger than the error value that same non-trained subjects performed during the humming of “itsy bitsy spider”. We conclude that one can expect larger error values in the humming performance of musically non trained subjects, when compared to musically trained subjects, which is previously explained in section 2.3. The ANOVA analysis shows that the effect of musical background is also significant for the whole humming performance. [“itsy bitsy spider” →  $F(1,39)=12.062$ ,  $p=0.001$ ; “happy birthday” →  $F(1,39)=8.646$ ,  $p=0.006$ ]. In addition, we also need to expect more uncertainty when the hummed melody contains intervals that are hard to sing as previously discussed and explained in section 2.1. The ANOVA analysis of humming performance of “itsy bitsy spider” and “happy birthday” showed that the effect of musical structure is also significant. [ $F(1,79)=5.91$ ,  $p=0.017$ ]

Moreover, all these average error values are calculated to be lower than the error values that are calculated at the largest interval transitions that we discussed in section 5.1. It also signifies that, most of the error values in the whole piece are dominated by the large interval transitions where subjects make the most pitch transition errors. This implies that, non-linear weight functions for high level versus low level note transitions should be implemented by the Query by Humming System at the back-end part where search engine performs the query.

## 7. FUTURE WORK AND CONCLUSION

In this paper, we discussed our corpus creation for designing user-centric front-ends for Query by Humming Systems. We first created a list that included the melodies to be hummed by the subjects. This list was created based on specific underlying goals. We included some melodies that are deemed difficult to hum as well as some familiar less-complex nursery rhymes. The experimenter decided what songs a subject was going to hum with the help of the musical background of the subject and the familiarity ratings that the subject had assigned at the beginning of the experiment. After collecting data for this specific melody list, the subjects were asked to hum some self-selected melodies not necessarily in the original list. The data was organized by subject details and quality measures and will be made available to the research community. We performed preliminary analysis of the data and tried to implement a way to quantify the uncertainty in the humming performance of our subjects, with the help of signal processing tools and knowledge of the physical challenges

in humming large intervals. We believe that this procedure increases the validity of the data in our database.

Ongoing and future work includes integrating this organized and analyzed data into our Query by Humming music retrieval System. The front end recognizer will use this data for its training [1]; we can decide what data to include in the training with respect to quantified uncertainty. More over, we can also test our query engine using this data, so that we can test the performance of our whole system against data that have variable degrees of uncertainty.

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